

# Quantum Monte Carlo study of protonated water dimer

## A simple model of proton transfer in water

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1 Introduction

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# Proton transfer in water

Proton transfer in water and aqueous systems is an ubiquitous phenomenon  $\Rightarrow$  relevant in a **wide range** of fields:

- basic mechanism of charge transfer in cells membranes
- important role in several enzymatic reactions
- design and application of efficient fuel cells

Despite its importance a **detailed and quantitative explanation** of the proton transfer (PT) mechanism has not been found yet.

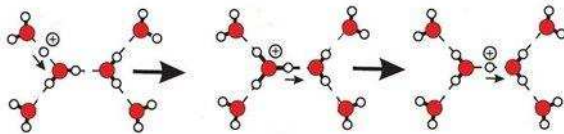


Figure: Proton transfer in water.

# Proton transfer in water

- Molecular dynamics simulations based on *ab-initio* potential energy surfaces (PESs) have not reached an agreement with experimental results. Due to:
  - Accurate description of hydrogen bonds formation, stretching and breaking is compulsory.
  - Sensitive thermal behavior  $\Rightarrow$   
 $\Rightarrow$  Precision of the order of 0.1 Kcal/mol is required by the PESs.

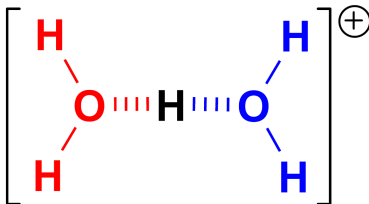
# Proton transfer in water

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  - Accurate description of **hydrogen bonds** formation, stretching and breaking is compulsory.
  - Sensitive **thermal behavior** ⇒  
⇒ Precision of the order of 0.1 Kcal/mol is required by the PESs.



- Precision reached by recent **highly correlated methods** such as coupled cluster (CC) technique.
- Accurate results for small systems, but simulations of **larger protonated clusters** is *in general* not feasible.

$\text{H}_5\text{O}_2^+$  or **Zundel ion** represents the **simplest model of PT** in water  $\Rightarrow$  subject of many experimental and theoretical investigations.



Subject: extensive study of this model the by means of several **quantum Monte Carlo** (QMC) techniques:

- **favorable scaling**  $\sim N^3 \Rightarrow$  simulation of larger protonated water clusters.
- **global accuracy** close to state-of-the-art CCSD(T) technique for both energetics and geometry.

Goal: work out and test a PES of Zundel ion which pave the way for further QMC computations on more realistic PT models.

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# Wave function ansatz: JAGP

QMC ansatz is the product of two factors: bosonic **Jastrow** factor and fermionic **Antisymmetrised Geminal Power (AGP)** function.

$$\Psi(\mathbf{x}_1, \dots, \mathbf{x}_n) = J(\mathbf{r}_1, \dots, \mathbf{r}_n) \Psi_{\text{AGP}}(\mathbf{x}_1, \dots, \mathbf{x}_n) \quad (1)$$

where  $\{\mathbf{x}_i = (\mathbf{r}_i, \sigma_i)\}$  are the coordinates of electron  $i$ .

## Basis set

We employ a **primitive Gaussian basis set**:

$$\psi_{a,(l,m,n)}^{\text{GTO}}(\mathbf{r}) = |\mathbf{r} - \mathbf{R}_a|^l e^{-\zeta_{l,n} |\mathbf{r} - \mathbf{R}_a|^2} Z_{l,m}(\Omega_{\mathbf{r} - \mathbf{R}_a}) \quad (2)$$

$Z_{l,m}(\Omega)$  = spherical harmonics ,  $\{l, m\}$  = quantum numbers,  $n$  = gaussian index,  
 $\zeta$  = gaussian exponents



# Jastrow factor

It treats **dynamical correlations** associated charge fluctuations  $\rightarrow$  *Van der Waals forces*. Main contribution is represented by the **three/four body term** which takes into account electron-electron-ion interactions:

$$J_3(\mathbf{r}_1, \dots, \mathbf{r}_N) = \exp \left( \sum_{i < j} \Phi_J(\mathbf{r}_i, \mathbf{r}_j) \right) \quad (3)$$

$$\Phi_J(\mathbf{r}_i, \mathbf{r}_j) = \sum_{a,b}^{N_{\text{atoms}}} \sum_{\mu,\nu}^{N_{\text{basis}}} g_{\mu,\nu}^{a,b} \psi_{a,\mu}^{\text{GTO}}(\mathbf{r}_i) \psi_{b,\nu}^{\text{GTO}}(\mathbf{r}_j) \quad (4)$$

where  $\mu, \nu = \{l, m, n\}$ ,  $N_{\text{atoms}}$  = number of atoms,  $N_{\text{basis}}$  = total number of GTOs

# AGP function

It accounts for **static correlations** associated to nearly-degenerated electronic energy levels.

- Antisymmetric product of pairing functions or geminals  $\Phi(\mathbf{x}_i, \mathbf{x}_j)$  of the system.

$$\Psi_{\text{AGP}}(\mathbf{x}_1, \dots, \mathbf{x}_n) = \hat{A} [\Phi(\mathbf{x}_1, \mathbf{x}_2), \dots, \Phi(\mathbf{x}_{N-1}, \mathbf{x}_N)] \quad (5)$$

$$\Phi(\mathbf{x}_i, \mathbf{x}_j) = \phi(\mathbf{r}_i, \mathbf{r}_j) \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \quad (6)$$

- So far geminals have been expanded over the uncontracted basis set:

$$\phi(\mathbf{r}_i, \mathbf{r}_j) = \sum_{a,b}^{N_{\text{atoms}}} \sum_{\mu,\nu}^{N_{\text{basis}}} \lambda_{a,b}^{\mu,\nu} \psi_{a,\mu}^{\text{GTO}}(\mathbf{r}_i) \psi_{b,\nu}^{\text{GTO}}(\mathbf{r}_j) \quad (7)$$

where  $\mu, \nu = \{l, m, n\}$ ,  $N_{\text{atoms}}$  = number of atoms,  $N_{\text{basis}}$  = total number of GTOs

# Atomic hybrid orbitals

Set of **hybrid orbitals** for each atom = contraction of primitive basis which maintains atomic character:

$$\phi(\mathbf{r}_i, \mathbf{r}_j) = \sum_{a,b}^{N_{\text{atoms}}} \sum_{\alpha,\beta}^{N_{\text{hyb}}} \tilde{\lambda}_{\alpha,\beta}^{a,b} \psi_{a,\alpha}^{\text{hyb}}(\mathbf{r}_i) \psi_{b,\beta}^{\text{hyb}}(\mathbf{r}_j) \quad (8)$$

$$\psi_{a,\alpha}^{\text{hyb}}(\mathbf{r}) = \sum_{\mu} c_{\mu}^{\alpha} \psi_{a,\mu}^{\text{GTO}}(\mathbf{r}) \quad (9)$$

where  $\mu = \{l, m, n\}$ ,  $N_{\text{hyb}}$  = number of hybrids for each atom.

- Information in **local electronic structure** and **nuclear embedding** in the compound.
- energy loss  $\leq 1$  mH with respect to uncontracted expansion
- number of parameters with hybrids  $P^{\text{hyb}} \propto N_{\text{hyb}}^2$  vs  $P^{\text{uncontr}} \propto N_{\text{basis}}^2$  of the uncontracted expansion  $\Rightarrow$  decreased of a factor 2 which becomes larger when system size is increased.

## Wave function optimization

**Robust optimization** of the wave function:

- 1 Ansatz is generated at first at a density function theory level.
- 2 Variational Monte Carlo technique is then applied to optimize the variational parameters of the ansatz all together.

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## Energy computations

Energy calculations are carried out with both **Variational Monte Carlo (VMC)** and **Diffusion Monte Carlo (DMC)** schemes. *BFD Pseudopotentials* are employed to treat oxygen core electrons.

# Computational details

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## Geometry computations

- 1 QMC estimators allows computation of **atomic forces** as efficiently as total energy calculations.
- 2 **Structure relaxation** is performed at VMC level of theory

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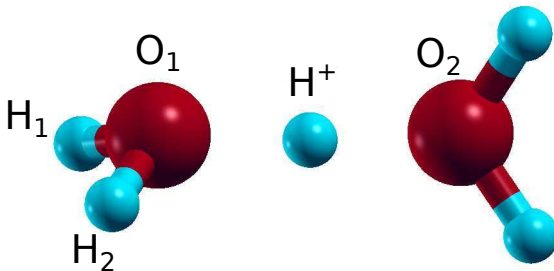
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# Ground state geometry

Figure:  $C_2$ -sym **global minimum** of Zundel ion. Main geometrical parameters (distances are in Å and angles in  $^\circ$ ) are shown in the table at the bottom. **Agreement** between QMC and CC is up to 0.005 Å for separations and  $1^\circ$  for the angle.



<i>Method</i>	$d(O_1O_2)$	$d(O_1H^+)$	$d(O_2H^+)$	$\angle O_1H^+O_2$	$d(O_1H_1)$	$d(O_1H_2)$
DFT-PBE	2.4111	1.2074	1.2074	173.684	0.9697	0.9691
QMC	2.3847(5)	1.1930(5)	1.1942(8)	174.71(7)	0.9605(8)	0.9650(8)
CCSD(T)	2.3864	1.1950	1.1950	173.730	0.9686	0.9682



# Proton Transfer in the $H_5O_2^+$

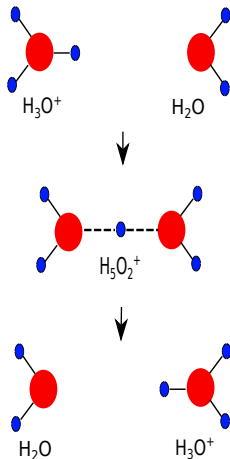
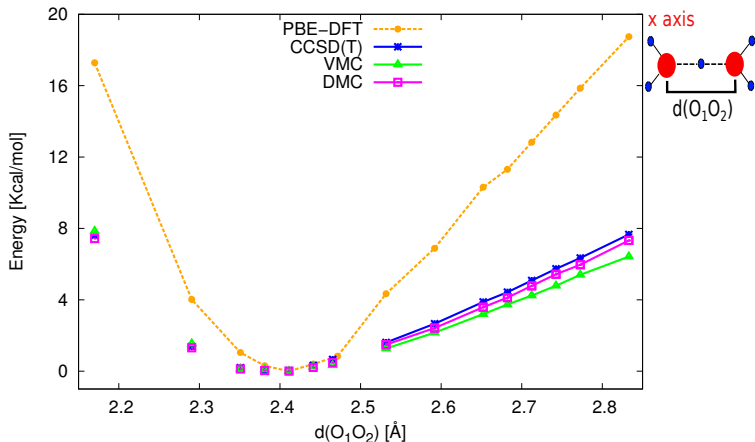


Figure: Proposed general mechanism of proton transfer  $\Rightarrow$  guideline to choose the **reaction coordinate** for the potential energy curve.

# Potential energy curve

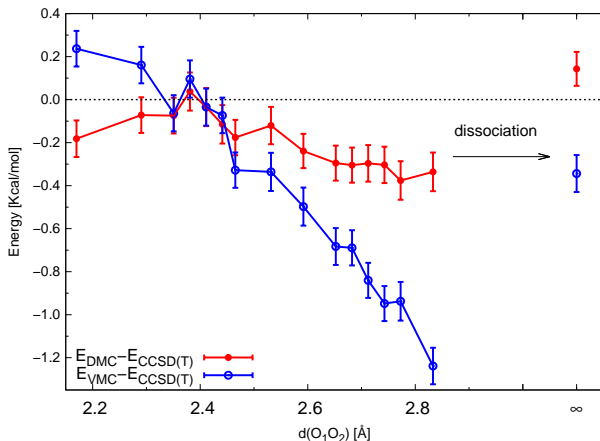
**Figure:** Potential energy curve of the dimer as a function of the oxygen-oxygen distance. QMC-optimized geometry is employed for every technique. **CCSD(T)** results are obtained from the full dimensional PES by Huang *et al.* <sup>1</sup>



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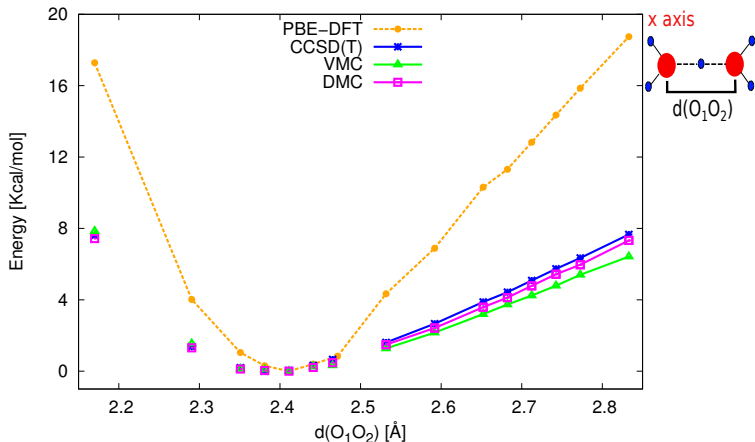
# Potential energy curve

Figure: Potential energy curve of the dimer at different level of theory with respect to coupled cluster energies (zero of the axis).



# Potential energy curve

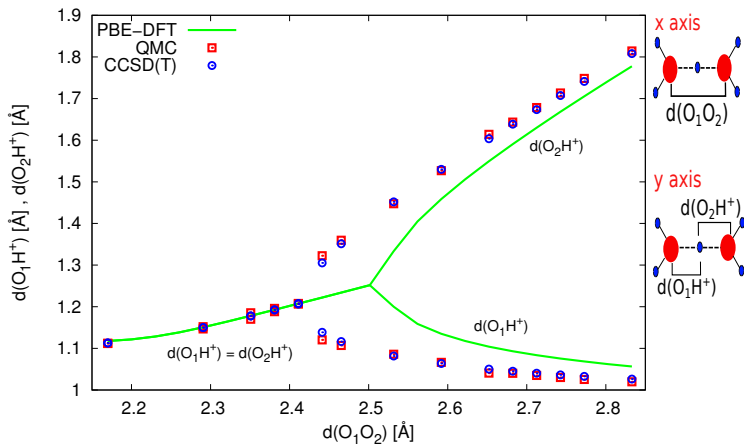
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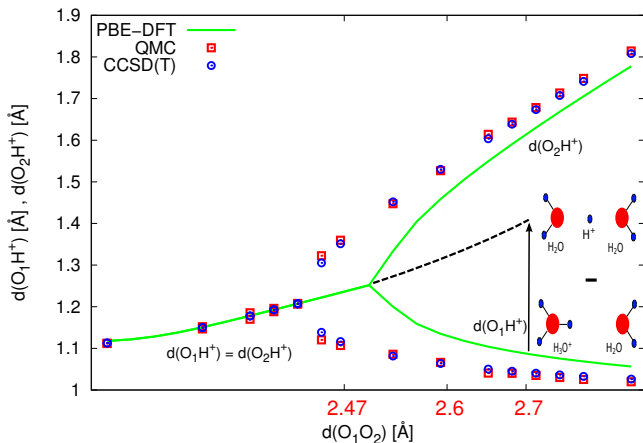
# Excess proton behavior

Figure: Geometrical properties of the excess proton along the reaction coordinates at different level of theory.



# Proton transfer static barrier

Figure: Proton transfer static barrier (Kcal/mol) in the Zundel model. It is computed as **difference** between the two displayed configurations at different  $\overline{OO}$  distances.



**Table:** Values of proton transfer barriers (Kcal/mol) at different  $\overline{OO}$  separations. The first column values are computed at distance very close to the **symmetry-breaking point** where the barrier is **very tiny**, slightly above the QMC statistical error.

Method	$d(O_1O_2)$		
	2.47 Å	2.6 Å	2.7 Å
DFT-PBE	-0.74	0.19	1.94
VMC	0.28(6)	2.99(8)	5.99(8)
DMC	0.37(8)	2.64(7)	5.57(7)
CCSD(T)	0.28	2.29	5.32

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## What has been done

- $H_5O_2^+$ , simplest model of proton transfer in water, has been investigated by means of several QMC techniques.
- **energetics** in excellent agreement with CCSD(T) results, up to 0.3 Kcal/mol for DMC, up to 1 Kcal/mol for VMC.
- VMC structural relaxation provides **geometries** remarkably close to CCSD(T).
- Key **role of correlations** to describe proton transfer physics in the system  $\Rightarrow$  important in more realistic models.

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## Future directions

- Studies of **more realistic models** allowed by QMC favorable scaling.
- Introduction of **finite temperature** within QMC framework.
- Introduction of the **quantum** nature of protons.

THANK YOU FOR YOUR ATTENTION!

# Atomic hybrid orbitals

## Basis set

Jastrow: O(3s2p1d)H(2s1p)

AGP: O(5s5p2d)H(4s2p)

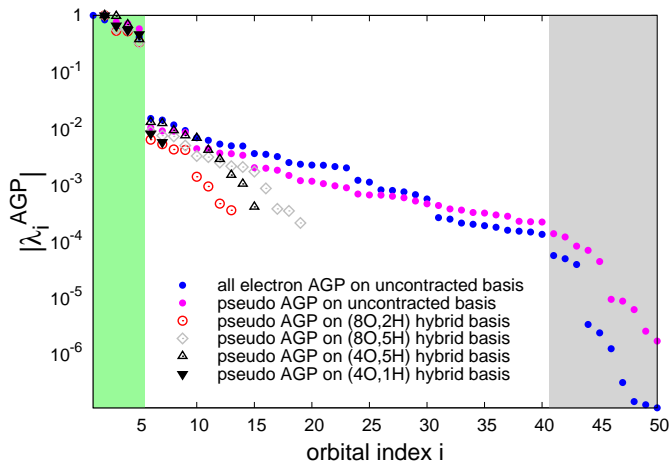
## Best set of hybrids

- Variational parameters:  $P_{\text{hyb}} = N_{\text{total hyb}}^2 + N_{\text{basis}} \times N_{\text{total hyb}}$
- **Benchmark** Variational Monte Carlo (VMC) pseudopotential calculations on a **single water molecule**.
- Assess the best compromise between efficiency (number of parameters) and accuracy (variational energy) for atomic hybrid basis.

Wave function ansatz	VMC energies		number of parameters		
	Energy $E$ (H)	$\Delta E$ (mH)	$\lambda_{\alpha,\beta}^{a,b}$	det orbitals	total
JAGP (uncontracted orbitals)	-17.25389(6)	0.0	682	18	895
JAGP (hybrid orbitals: 8O 5H)	-17.25302(6)	0.87(8)	171	358	724
<b>JAGP (hybrid orbitals: 8O 2H)</b>	-17.25267(7)	1.22(9)	78	298	571
JAGP (hybrid orbitals: 4O 5H)	-17.25183(6)	2.06(8)	105	238	538
JAGP (hybrid orbitals: 4O 1H)	-17.25013(8)	3.7(1)	21	158	374

# Why AGP ansatz?

Figure: Modulus of the **AGP eigenvalues**  $\lambda_i$  as a function of the molecular orbital index. Calculations done for a single  $H_2O$  molecule.



# Why JAGP ansatz?

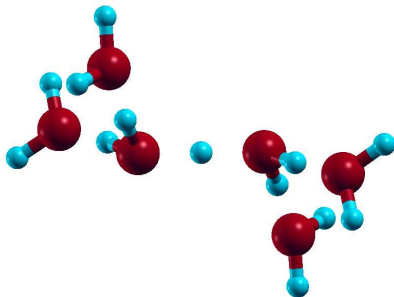
**Table:** Pseudopotential calculations on single  $H_2O$  molecule. Test of various wave function ansatzes.

Wave function ansatz	VMC energies		
	Energy $E_x$ (H)	Variance ( $H^2$ )	$E_x - E_{JSD}$ (mH)
JSD (uncontracted)	-17.24821(7)	0.2655(6)	0.0
JAGP (hybrid: 4O 1H)	-17.25013(8)	0.2635(12)	-1.91(11)
JAGP (hybrid: 4O 5H)	-17.25183(6)	0.2510(6)	-3.62(10)
JAGP (hybrid: 8O 2H)	-17.25267(7)	0.2426(18)	-4.46(10)
JAGP (hybrid: 8O 5H)	-17.25302(6)	0.2412(34)	-4.89(10)
JAGP (uncontracted)	-17.25389(6)	0.2296(5)	-5.68(10)

**Table:** DMC energy results on  $H_2O$ . JAGP provides a better description of the **nodal surface** of the wave function.

	pseudo	all-electron
$E_{JSD}$ (H)	-17.26280(6)	-76.42475(15)
$E_{JAGP}$ (H)	-17.26528(6)	-76.42690(14)
$E_{JAGP} - E_{JSD}$ (mH)	-2.48(9)	-2.15(21)

# More realistic model of PT in water



**Figure:** In table below: computational time for single-point energy calculations for various clusters sizes.

# of water molecules	Total wall time (h) on 512 CPU	
	VMC	LRDMC
1	0.05	0.15
2	0.24	2.13
6	6.18	49.58